

Comms Technically Feasible (CTF)

Briefing Document

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1. Purpose of Document

This document is intended to provide Market Participants with understanding of:

- What Comms Technically Feasible (CTF) is
- How CTF is proven and how this information is advised to Supplier
- When remote reading will take place
- Ongoing CTF assessment
- Provide Market Participants with an understanding of how Suppliers will need to check CTF value before issuing any requests for Smart Data Services
- Information that Market Messages that CTF value will issue in
- When CTF value will be shared with Market Participants

2. What is CTF?

CTF (Communications Technically Feasible) is a check that ESB Networks will perform daily on each meter installation to establish the reliability of communications from the Smart Meter to the respective AMI Systems across the telecommunications network.

CTF will be used by ESB Networks to determine the method for reading and managing the meter (remote or manual)

ESB Networks expects approx. > 95% population coverage for the advanced meter infrastructure.

ESB Networks expects coverage to fluctuate for a small number of meter locations due to a variety of reasons including but not limited to local propagation issues, atmospheric conditions, tree coverage, radio access network failure, changes in network topology, communications interference sources, customer actions etc.

3. Initial CTF Assessment

Initial CTF Assessment determines the CTF at a site for the first time. This may be in the case of a new connection or a legacy to Smart Meter exchange at an existing site. The CTF is a measure of ESB Networks' service offering to its suppliers and their customers at an MPRN. The CTF value is an attribute of the site and not of the individual meter.

The CTF is calculated using information from the scheduled data retrieval processing reports for a proving period of 30 days. This 30-day period is the duration that ESB Networks has factored into the functional design and associated technical specifications. The reporting capability of the Smart Meter is assessed and assigned a value between 01 and 04.

CTF Values:

01 (Comms proving failed)

02 (Non-Interval Service Standard)

03 (Interval Service Standard)

04 (Remote Re-Energisation Pre Payment standard of quality)

| CTF Value | Description | Indicative success rate* |
|-----------|-------------------------------------------------------------------------------------------------|--------------------------------------|
| 01 | Communications proving for the MPRN has failed – only manual reading is possible for this meter | 0 – 4 days within the past 30 days |
| 02 | The meter can be Remotely read but not reliably | 5 – 11 days within the past 30 days |
| 03 | The meter can be Remotely read regularly but not every day | 12 – 27 days within the past 30 days |
| 04 | The meter can be Remotely read every day with very good reliability | > 28 days within the past 30 days |

* Please note that the algorithm to calculate the CTF value has been tuned based on the initial subset of meters but will be continually assessed as more Smart Meters are installed. *

4. Reading of Smart Meters

Where communications cannot be established (CTF = 01) the meter registers will be manually read as is the current practice.

Where communications can be established (CTF = 02 / 03 / 04) the meter will transmit its associated data packages each night, where possible. On occasions where the meter cannot be read, estimates will be provided. For MPRNs configured to MCC12 (HH Interval Smart Data Services), estimated data provided to the Supplier will be replaced with actuals where possible when communications with the meter are re-established. For MPRNs configured to MCC16 (Non-Interval Smart Data Services), estimates provided to the Supplier will not be replaced (as the meter reading order window will have closed once the estimate is generated and sent to the Supplier).

Manual collection of HH Interval Data will not be provided by ESB Networks.

5. Enduring CTF Assessment

The CTF value at every MPRN with a Smart Meter will be re-evaluated daily by ESB Networks. The evaluation will take into account the meter communication success or failure record over the previous 30 days (rolling). The rules governing the CTF value calculation have been calculated through a tuning process over multiple iterations. These rules are detailed in the table below and have been designed to ensure that daily/weekly fluctuations of CTF will not occur and that the CTF value remains relatively stable once initial assessment is complete. If the CTF value does move up or down the Supplier will be notified via the 114 Market Message.

| CTF Values | | Standard Calculation | | Hysteresis Calculation* | |
|------------|--------------------------------------------|-------------------------|-------------------------|-------------------------|--------------------|
| CTF Value | Description | Min Success Rate (days) | Max Success Rate (days) | Lower bound (days) | Upper bound (days) |
| 01 | Comms Proving Failed | 0 | 4 | 0 | 6 |
| 02 | Non-Interval Service Standard | 5 | 11 | 2 | 16 |
| 03 | Interval Service Standard | 12 | 27 | 8 | 29 |
| 04 | Remote Re-Energisation Prepayment Standard | 28 | 30 | 22 | 30 |

These parameters state that a meter must communicate between the min and max standard calculation days for any CTF value to be given that value after the 30 days proving period.

The hysteresis calculation will take effect where a meter's comms average is changing. The meter must hit the Hysteresis upper or lower to have its CTF changed and communicated to the Market.

While these calculation parameters are deemed appropriate for CTF calculation for phase 1 go live onwards, they should be revisited when the pre-payment service comes into effect (CTF 04). This will be to ensure that the calculation for CTF 04 is still fit for purpose and ESB Networks can provide the level of service stipulated by CTF 04 in phase 2.

ESB Networks will monitor communications coverage with our telecommunications service supplier and work to improve coverage levels and associated system performance on a continuous improvement basis. As a result of these activities, some MPRNs with low CTF values may improve over time. Supply companies will not be expected to notify ESB Networks when estimated readings are produced.

Where the CTF value changes from 01 to 02 / 03 / 04, the device will be updated as remotely read and the MPRN will be moved to a remotely read Meter Reading Unit (MRU). Where the CTF value changes from 04 / 03 / 02 to 01, the device will be updated as manually read and the MPRN will be moved to a manually read MRU. The initial tuning and calculation banding

have been designed to eliminate as much of this movement as possible to avoid MPRNs fluctuating between CTF values and accurately reflect the Smart Data Services offered.

A CTF update will result in the issue of MM114 (the message status code will be "A" (Advice)) to the registered Supplier (or to the Old and New Supplier where a CoS is in progress) notifying them of the current CTF Value. The CTF Value will be available on Retail Extranet, Downloadable Files or Web Service.

Business process to prohibit incidents from negatively impacting the CTF value

- Excluding impacted MPRNs from CTF calculation application while a non-comms network related incident is active
- Central Market Systems will continue to get a daily CTF Update, but it would contain no new values for the impacted MPRNs
- When the non-comms network related incident is resolved and data is flowing in from SEMs again, the CTF calculation process will be resumed for the impacted MPRNs

Please Note: If the CTF Value updates while there is an open COS, COLE or open meter reading orders the issue of the MM114 is delayed until completion of the processes.

Please Note: Where a customer is on MCC12 (HH Interval Data) and the CTF value is reduced to 01 or 02, actual HH readings cannot be guaranteed. Estimated HH readings will be provided where no actual readings can be collected. The onus is on the Supplier to request a change to Non-Interval Smart Data Services to change the MCC to MCC16. ESB Networks will not change the MCC of a customer unless instructed to do so by their Supply Company.

6. De-energised Sites

When a site with a Smart Meter is de-energised, the CTF value in Central Market Systems is "frozen" and remains visible on the Retail Extranet, Downloadable Files and Web Service.

If a site is de-energised during the proving period, the proving period will pause until the site is then re-energised again.

7. Supplier requests for Smart Data Services Dependent of CTF Value

All market process (COS, COLE etc.) will complete based on the v13.00.00 Market Design.

ESB Networks will reject requests from Suppliers for Smart Data Services that cannot be supported by the CTF level.

MM013 requesting Smart Data Services change will be rejected where the CTF at the MPRN does not support the request. For Interval Smart Data Services CTF must be 03 or 04. For Non-Interval Smart Data Services CTF must be 01, 02, 03 or 04. The rejection will be sent via MM014R with reason code SCI (Smart Configuration Code Invalid).

MM016 requesting CoLE with Smart Data Services change will be rejected where the CTF at the MPRN does not support the request. For Interval Smart Data Services, the CTF must be 03 or 04. For Non-Interval Smart Data Services, the CTF must be 01, 02, 03 or 04. The rejection will be sent via MM116R with reason code SCI (Smart Configuration Code Invalid)

MM017 with Smart Data Services change will be rejected where the CTF at the MPRN does not support the request. For Interval Smart Data Services, the CTF must be 03 or 04. For Non-Interval Smart Data Services, the CTF must be 01, 02, 03 or 04. The rejection will be sent via MM117R with reason code SCI (Smart Configuration Code Invalid)

MM010 with Smart Data Services change will be rejected where the CTF at the MPRN does not support the request. For Interval Smart Data Services, the CTF must be 03 or 04. The rejection will be sent via MM102R with reason code SCI (Smart Configuration Code Invalid)

MM010 for registration of a previously de-registered site requesting Smart Data Services change will be rejected where the CTF at the MPRN does not support the request. For Interval Smart Data Services, the CTF must be 03 or 04. The rejection will be sent via MM101R with reason code SCI (Smart Configuration Code Invalid).

Note: Where Smart Data Services exist at an MPRN, the Smart Data Services segment on any subsequent MM010, MM016 and MM017 submitted for that MPRN must be populated, even where no change to Smart Data Services is requested. If the CTF does not support the Smart Data Services specified, the MM010 / MM016 / MM017 will be rejected in its entirety.

For example, if an MPRN is currently configured to MCC12, but the CTF has deteriorated to 01 or 02, an MM016 requesting a CoLE and Smart Data Services 01 / MCC12 will be rejected in its entirety via MM116R with reject reason SCI.

The following exception applies:

Where an MPRN is currently configured to MCC16 but is still within the 30-day Comms Proving Period (i.e., no CTF value is present), an MM010 / MM016 / MM017 with Smart Data Services 02 / MCC 16 will not be rejected.

For example, in the case of an MCC16 New Connection that is still within the 30-day Comms Proving Period, an MM016 requesting a CoLE and Smart Data Services 02 / MCC16 will not be rejected, even though the required CTF value (01 / 02 / 03 / 04) is not present at the MPRN.

8. Additional Market Messages that CTF Value will issue on

The CTF Value will also issue on the following Market Messages where Comms has been proven.

- MM101 – New Connections Registration Acceptance
- MM102 – Change of Supply Registration Acceptance
- MM105 – Change of Supplier Confirmation
- MM101P – New Connections Provisional Acceptance (Registration of a previously deregistered site only)
- MM102P – Change of Supplier Provisional Acceptance

9. When will CTF Value be shared with Market Participants?

CTF values were shared with market participants on release of the v13.00.00 Schema from February 2021. In addition to being provided to the registered Supplier via the 114 Market Message, the CTF value for each MPRN with a Smart Meter where Comms have been proven will also be published on the Extranet and will be visible via the MPRN Lookup Web Service.