VERSION 1

Assurance Services for the Retail Electricity Market

Industry Governance Group (IGG)

Presented By: Version 1

Date: 8th May 2024

Company Classification: Controlled

Market Assurance Activity – V14.00.00 Market Release

- **V14.00.00 Assurance: Self-Assessment PQ**
    - The first stage of Assurance conducted was the Self-Assessment PQ;
    - The Self-Assessment PQ was issued on the 4th March 2024 (a draft version was provided on the 6th February 2024 to benefit early review);
    - The initial assurance assessment outcome report is being reviewed by the CRU the anonymised version of the report will be issued in due course;
    - The next stage of assurance will be formal assessment for the suppliers that will provide Smart PAYG services upon functionality contained within the Market Release being available;

    - The Assurance Body would like to thank all Suppliers who returned the completed PQs on time;
    - The next slide details a summary of some of the observations the Assurance Body noted;

V14.00.00 Market Release – PQ Observations

Seeking to enhance assurance processes for market releases.

The Assurance Body identified numerous positives during the Assurance activities for the V14.00.00 conducted to date.

Thank you for your interactions and support.

Looking forward to the next Assurance stages we thought it would be useful to mention a few observations.

PQ Responses – *overall responses were good, however for some they did not directly answer the question asked or the evidence provided was not of a sufficient detail as would have been expected. Where applicable this will be picked up in the formal assessment phase.*

Industry Attendance – *we would encourage attendance at industry meetings, they are so beneficial – we note approximately 30% of participants currently do not regularly attend industry meetings. Whilst this has slightly improved since raised at v13.6, still remains an issue.*

System development lifecycle – *for clear visibility of how the impacts and required system and process changes that have been assessed and planned, it would be beneficial to track the system design and developments back to the impact assessments conducted and ultimately the MCR(s).*

MCR Versions – *suppliers should ensure the RMDS website is the principal source of MCR detail and keep abreast of the reversioning of MCRs.*

Testing timelines – *The delay in starting UAT provides less contingency prior to IPT commencing for any defects raised in UAT to be resolved prior to market wide IPT testing. The movement of dates and lack of any further contingency available is enhancing the risk on the Go Live date.*

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V14.00.00 Timeline – Next Steps

- **V14.00.00 Assurance – Timeline**
  - All key stage Assurance milestones have been achieved;
  - A small number of PQ responses were overdue, however were accommodated within the timeline;

- **Formal Assessments – Timeline**
  - Meeting Agenda's have been issued
  - Supplier meetings commence: Monday 13th May
  - ESB Networks will be held in June

Key stage	Date	Status
Assurance approach approved by CRU	18 April 2023	✅
Assurance approach approved by IGG	26 April 2023	✅
Market Participant Self-Assessment Questionnaire released	4 March 2024	✅
Market Participant Self-Assessment Questionnaire response	22 March 2024	✅
Self-Assessment Findings Report issued to CRU	12 April 2024	✅
Anonymised Self-Assessment Findings Report issued	24 April 2024	✅
RMDS Issues IPT plan	10 May 2024	
Supplier Formal Assessments performed	13 May – 7 June 2024	
Supplier Formal Assessment Finding report issued to CRU	14 June 2024	
ESB Networks Formal Assessment performed	17 June – 5 July 2024	
ESB Networks Formal Assessment Finding report issued to CRU	12 July 2024	

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Participant Assurance Activity

- **Participant Entry Assurance**
  - 2 self supplier market entries have been processed.
  - 1 export only market entry has been processed.
  - A number of other new market entrant applications are in the application phase.

- **Participant Requalification and Material Change Assurance**
  - Two material change applications are currently being processed.
  - A number of material change, and requalification applications are in the planning phases.

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Thank you

Thank you for your time, if you would like to find out more, please visit our website
www.version1.com